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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/777,263	02/12/2004	Kazuo Aoki	JP9-2002-0244US1 (466)	5410
40/987 7590 12/18/2008 AKERMAN SENTERFITT P. O. BOX 3188 WEST PALM BEACH, FL 33402-3188				
EXAMINER				
BORSETTIL GREG				
ART UNIT		PAPER NUMBER		
2626				
MAIL DATE		DELIVERY MODE		
12/18/2008		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/777,263

**Applicant(s)**

AOKI ET AL.

**Examiner**

GREG A. BORSETTI

**Art Unit**

2626

**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 03 November 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 17-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 17-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/CDC)
- 4) ☐ Interview Summary (PTO-413)
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_
- Paper No(s)/Mail Date \_\_\_\_\_

**DETAILED ACTION**

1. Claims 17-20 are pending.
2. Claim 17 has been amended.
3. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

***Continued Examination Under 37 CFR 1.114***

4. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 11/3/2008 has been entered.

***Response to Arguments***

5. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., Frisch is not applicable for Japanese and other languages...Frisch's invention is only effective for processing short character sequence such as single compound word of German and others." (Remarks, Page 7, ¶ 1) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the

specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

6. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., Frisch is not capable of this kind of processing." (Remarks, Page 8, ¶ 1) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

7.

***Claim Rejections - 35 USC § 101***

8. Claims 17-20 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

9. Under the most recent interpretation of the Interim Guidelines regarding 35 U.S.C.101, a method claim must (1) be tied to another statutory class or (2) transform underlying subject matter to a different state or thing. If no transformation occurs, the claim(s) should positively recite the other statutory class to which it is tied to qualify as a statutory process under 35 U.S.C. 101. As for guidance to areas of statutory subject matter, see 35 U.S.C. 101 Interim Guidelines (with emphasis of the Clarification of "processes" under 35 USC 101); As an example, the claim(s) could identify the apparatus that accomplishes the method steps, or positively recite the subject matter that is being transformed. There is no physical transformation and no positive recitation of another statutory category within the body of the claims to satisfy 35 USC 101.

***Claim Rejections - 35 USC § 103***

10. Claims 17-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Frisch et al. (US Patent #4873634 hereinafter Frisch) in view of Baker et al. (US Patent # 5754972 hereinafter Baker) and further in view of Applicants admitted prior art (Background section).

As per claim 17, Frisch teaches:

Inputting the text string to be processed (Frisch, column 3, lines 25-35,  
*...an improved method for generating correctly spelled example compound words in response to the inputting by the user of a misspelled compound word...*);

decomposing the text string into tokens (Frisch, columns 4-5, lines 40-67,  
1-51, *...decomposition of compound words...*);

determining whether each token is decomposable (Frisch, columns 4-5, lines 40-67, 1-51, *...Once all the possible initial components have been identified, the remaining portion of the compound word is subjected recursively to the same substring-matching procedure against the dictionary, but the compounding attributes must be those of a middle or back component...*).

Frisch fails to teach, but Baker teaches:

selecting whether or not to decompose a decomposable complex word in response to a request from an application that utilizes a morphological analysis result (Baker, columns 5-6, lines 63-67, 1-5, Baker teaches the use of user input to determine when to decompose a compound (complex) word for an application in speech recognition.);

if a token is not decomposable, registering the non-decomposable token on a token list (Baker, column 9, lines 51-67, *...generates a candidate list 114 and displays that list 116, in response to the characters and formatives entered by the user...*).

It would have been obvious to someone of ordinary skill in the art at the time of the invention to combine Baker with the Frisch device to have a selection process in the decomposition of compound word to increase the word recognition accuracy on compound words in speech recognition

Frisch and Baker fail to teach, but Applicants admitted prior art teaches:

selecting the optimum token string based on the token list  
(Background, Page 3, ¶ 0007, Fig. 12).

It would have been obvious to someone of ordinary skill in the art at the time of the invention to combine Applicants admitted prior art with the Baker and Frisch device to generate the most likely token string, to define the decomposable complex word such that it can be recognized efficiently from an application using the dictionary

As per claim 18, claim 17 is incorporated and Frisch fails to teach, but Applicants admitted prior art teaches:

wherein a master dictionary is referenced when decomposing the text string into tokens (Background, Page 3, ¶ 0006, Fig 11)

It would have been obvious to someone of ordinary skill in the art at the time of the invention to combine Applicants admitted prior art with the Baker and Frisch device because the substitution of one known elements for another would have yielded predictable results to one of ordinary skill in the art at the time of the invention. The Frisch and Baker device requires a dictionary for comparison. It would have been obvious to someone of ordinary skill in the art to use the master dictionary provided in Applicants admitted prior art because the master dictionary is a pre-segmented dictionary of tokens and their attributes (Page 3, ¶ 0006). Therefore, since the dictionary is already segmented, it would have been obvious to someone of ordinary skill in the art at the time of the invention to combine Applicants admitted prior art with the Baker and Frisch device, because the pre-segmented dictionary reduces processing in the generation of the most likely token string to define the decomposable complex word.

As per claim 19, claim 17 is incorporated and Frisch fails to teach, but Applicants admitted prior art teaches:

wherein a grammar dictionary is referenced when selecting the optimum token string on the bases of the token list (Background, Page 3, ¶ 0007).

It would have been obvious to someone of ordinary skill in the art at the time of the invention to combine Applicants admitted prior art with the Baker and Frisch device because the substitution of one known elements for another would have yielded predictable results to one of ordinary skill in the art at the time of the invention. The Frisch and Baker device requires a dictionary for comparison. It would have been obvious to someone of ordinary skill in the art to use the master dictionary provided in Applicants admitted prior art because the master dictionary is a pre-segmented dictionary of tokens and their attributes (Page 3, ¶ 0006). Therefore, since the dictionary is already segmented, it would have been obvious to someone of ordinary skill in the art at the time of the invention to combine Applicants admitted prior art with the Baker and Frisch device, because the pre-segmented dictionary reduces processing in the generation of the most likely token string to define the decomposable complex word.

As per claim 20, claim 18 is incorporated and Frisch fails to teach, but Baker teaches:

wherein whether a token is decomposable is determined by determining whether a decomposable flag for the token in the master dictionary is set (Baker, column 9, lines 32-35, *...the compound word recognizer 82 is responsive to a user command to decompose, into its identified formatives, a previously identified compounded word...*, the word is known to be a compound word, therefore it would have been obvious that a flag or an indicator would be set to indicate that the compound word is decomposable such that the user could choose to decompose the word.)



It would have been obvious to someone of ordinary skill in the art at the time of the invention to combine Baker with the Frisch device to have a selection process in the decomposition of compound word to increase the word recognition accuracy on compound words in speech recognition.

### ***Conclusion***

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to GREG A. BORSETTI whose telephone number is (571)270-3885. The examiner can normally be reached on Monday - Thursday (8am - 5pm Eastern Time).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, RICHMOND DORVIL can be reached on 571-272-7602. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Greg A. Borsetti/  
Examiner, Art Unit 2626

/Richemond Dorvil/

Supervisory Patent Examiner, Art Unit 2626